

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	Group Art Unit: NOT KNOWN
David Y. Jackson et al.	Examiner: NOT KNOWN
Serial No.: To Be Assigned	Confirmation No: NOT KNOWN
Filed: FEBRUARY 4, 2004	CUSTOMER NO: 09157
For: TYROSINE DERIVATIVES	Express Mail No.: EV 351 923 206 US
	Date of Deposit: February 4, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information (attached hereto and listed on the attached revised Form PTO-1449) of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement is filed in accordance with the provisions of:

☒ **37 CFR §1.97(b)**

- within three months of the filing date of the application other than a continued prosecution application under 37 CFR §1.53(d); **or**
- within three months of the date of entry of the national stage of a PCT application as set forth in 37 CFR §1.491, **or**
- before the mailing of the first Office action on the merits; **or**
- before the mailing of the first Office action after the filing of a request for a continued examination under 37 CFR §1.114.

☐ **37 CFR §1.97(c)**

- by the applicant after the period specified in 37 CFR §1.97(b), but prior to the mailing date of any of a final action under 37 CFR §1.113, or a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application, and is accompanied by either the fee set forth in 37 CFR §1.17(p) **or** a statement as specified in 37 CFR §1.97(e), as checked below.

☐ **37 CFR §1.97(d)**

- after the period specified in CFR § 1.97(c), and is accompanied by the fee set forth in 37 CFR § 1.17(p) **and** a statement as specified in 37 CFR § 1.97(e), as checked below.

[If either of boxes 37 CFR § 1.97(c) or 37 CFR § 1.97(d) is checked above, the following statement under 37 CFR § 1.97(e) may need to be completed.]

- ☐ **37 CFR §1.97(e)** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ **37 CFR §1.704(d)** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and the communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this information disclosure statement. Therefore, in accordance with the provisions of 37 CFR § 1.704(d), the filing of this information disclosure statement will not be considered a failure to engage in reasonable efforts to conclude prosecution under 37 CFR § 1.704.
- ☐ The U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement under 37 CFR § 1.17(p). Any deficiency or overpayment should be charged or credited to this deposit account.

A list of the patents or publications is set forth on the attached revised Form PTO-1449 (Modified). A copy of the items on PTO-1449 is supplied herewith.

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached PTO-1449 form are not supplied because they were previously cited by or submitted to the Office in a prior application Serial No. , filed and relied upon in this application for an earlier filing date under 35 USC § 120.

☐ BLAST results enclosed:

The undersigned also wishes to bring to the attention of the Examiner BLAST results of computerized alignments of the against sequences contained in the nucleotide and protein databases. The BLAST results are provided in paper form and are identified as reference "BLAST Results A-1 - A-()" (nucleotide) and "BLAST Results B-1 - B-()" (protein) on the

PTO Form 1449. Applicant requests that these references also be considered and that the Form 1449 be initialed to indicate the Examiner's consideration of the references.

A concise explanation of relevance of the items listed on PTO-1449 is:

- ☒ not given
- ☐ given for each listed item
- ☐ given for only non-English language listed item(s) [Required]
- ☐ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.


In accordance with 37 CFR § 1.97(g), the filing of this information disclosure statement shall not be construed as a representation that a search has been made.

In accordance with 37 CFR § 1.97(h), the filing of this information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 for this Information Disclosure Statement, or credit overpayment to Deposit Account No. 07-0630.

Respectfully submitted,
GENENTECH, INC.

Date: February 4, 2004

By: 
David W Evans
Reg. No. *
Telephone No. (650) 225-1739

1 DNA Way
South San Francisco, CA 94080-4990
Fax No.: (650) 952-9881

* A copy of a document pursuant to 37 CFR § 10.9(b) is attached as proof of the authorization of the above to prosecute the referenced application. The original of this document is on file in the Office of Enrollment and Discipline.

FORM PTO-1449 LIST OF DISCLOSURES CITED BY APPLICANT (Use several sheets if necessary)		U.S. Dept. of Commerce Patent and Trademark Office		Atty Docket No. P1778R1C2	Serial No. To Be Assigned
				Applicant Jackson, D. et al.	
				Filing Date 03 Feb 2004	Group Not Known

U.S. PATENT DOCUMENTS							
Examiner Initials	Document Number	Date	Name	Class	Subclass	Filing Date	
	1	4,278,793	Durckheimer et al.			21.07.80	
	2	5,403,584	11.06.02 Crawford et al.				
	3	5,432,186	11.07.95 Fink, Cynthia A.				
	4	5,977,075	02.11.99 Ksander G. et al.				
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Document Number	Date	Country	Class	Subclass	Translation Yes No	
	5	WO 98/01133	07.08.96 PCT				
	6	WO 99/10312	04.03.99 PCT				
	7	WO 99/36393	22.07.99 PCT				
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, etc.)							
	8	Barbadillo, C. et al. <u>Springer Semin. Immunopathol.</u> 16:375-379 (1995)					
	9	Laberge, S. et al., "Role of VLA-4 and LFA-1 in Allergen-Induced Airway Hyperresponsiveness and Lung Inflammation in the Rat" <u>Am. J. Respir. Crit. Care Med.</u> 151:822-829 (1995)					
	10	Morris, P., "Therapeutic Strategies in Immunosuppression after Renal Transplantation" <u>J. Pediatrics</u> 111:1004-1007 (1987)					
	11	Podolsky, D.K., "Inflammatory Bowel Disease" <u>New England J. of Medicine</u> 325:928-937 (1991)					
	12	Powrie and Leach, "Genetic and Spontaneous Models of Inflammatory Bowel Disease in rodents: Evidence for Abnormalities in Mucosal Immune Regulation" <u>Ther. Immunol.</u> 2:115-123 (1995)					
	13	Viney et al., "Mucosal Addressin Cell Adhesion Molecule-1: A Structural and Functional Analysis Demarcates the Integrin Binding Motif" <u>J. Immunol.</u> 157:2488-2497 (1996)					
Examiner				Date Considered			
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							